



# INDIANA UNIVERSITY

OFFICE OF THE VICE PROVOST FOR RESEARCH

Bloomington

## FACULTY RESEARCH SUPPORT PROGRAM

### EXTERNAL RESUBMISSION

### (FRSP – External Resubmission)

#### Application Deadline: Open

Must be submitted within four months of receiving external agency reviews.

#### Guidelines

#### Application Instructions

**Notify Fraya Fox of submission at [frsp@indiana.edu](mailto:frsp@indiana.edu).**

**Revised: April 27, 2017**

Questions regarding the FRSP should be directed to:

<b>FRSP Administrator:</b>	Jeffrey Zaleski	<a href="mailto:zaleski@indiana.edu">zaleski@indiana.edu</a>	855-2134
<b>FRSP Coordinator:</b>	Fraya Fox	<a href="mailto:frsp@indiana.edu">frsp@indiana.edu</a>	855-8913
<b>FRSP Finance Manager:</b>	Kristi Jones	<a href="mailto:joneskri@indiana.edu">joneskri@indiana.edu</a>	855-3995

## SYNOPSIS

The Faculty Research Support Program - External Resubmission (FRSP - External Resubmission) supports new innovative research projects that were previously submitted for, but did not receive, external funding from federal, state, international, or private non-profit entities and whose likelihood of funding on resubmission would increase with the acquisition of additional data or proof of concept. Renewals and continuation projects will not be considered. **Eligible projects must be innovative and highly rated (overall excellent or very good) and be targeted for resubmission within one year of the start of FRSP - External Resubmission funding.** The review process will involve faculty peers in the evaluation of the project and in the development of a successful resubmission.

## GUIDELINES

### SUBMISSION DEADLINE

While there is no specified submission deadline, applications must be submitted to OVPR *within four months* of receiving reviews from a proposal submitted to an external agency (federal, state, international, or private non-profit). If the final decision on an application to an external funding agency has been delayed beyond the four month period and the PI wishes to apply for FRSP - External Resubmission funding, please contact [frsp@indiana.edu](mailto:frsp@indiana.edu) and an exception to the four-month deadline may be considered.

### ELIGIBILITY

#### Eligible Projects

- Projects reviewed by external federal, state, international, or private non-profit entities that did not receive funding and that require the acquisition of additional data or proof of concept for successful resubmission within one year. The agency reviews of the submitted proposal must indicate that the project is sound, innovative, and close to funding.

#### Ineligible Projects

- Renewals and continuation projects
- Proposals focused on the development of teaching or academic programs
- Non-funded competitive renewal proposals. Funding for such non-funded renewal proposals should be sought through the Bridge Funding program:  
<http://ovpr.indiana.edu/funding/internal-funding-programs/bridge-funding/>
- Proposals in areas supported by the New Frontiers in the Arts and Humanities Program:  
[http://research.iu.edu/funding\\_newfrontiers.shtml](http://research.iu.edu/funding_newfrontiers.shtml).
- Proposals from commercial, industrial, and other for-profit entities.

#### Eligible as PIs

- All tenured and tenure-eligible faculty members at IU Bloomington
- Research scientists at IU Bloomington
- Research center directors at IU Bloomington
- Medical Sciences faculty at IU Bloomington

- Emeriti faculty members who are still active in research. (Some budgetary restrictions may apply. Contact your fiscal officer or the Office of the Vice Provost for Faculty and Academic Affairs for details.)

#### **Not Eligible as PIs**

- Visiting and adjunct faculty members
- Staff scientists (support-oriented positions)
- Postdoctoral research associates
- PIs with outstanding final grant reports from previously OVPR-funded projects.

#### **MAXIMUM AWARD**

- The maximum amount that will be awarded for any project is \$75,000.

#### **FUNDING DURATION**

- Budgets should be one-time amounts to be expended over a maximum of 12 months.
- The FRSP - External Resubmission does not provide multiple-year awards.
- If a positive funding decision on the originally submitted external proposal is made during the period of FRSP - External Resubmission funding, the amount of the FRSP - External Resubmission award must be returned when external funding commences.

#### **MATCHING FUNDS**

- All FRSP - External Resubmission support requires matching arrangements between OVPR (90%) and the faculty member's home department, college, or school (10%).

### **PHASE 1 - SUBMISSION TO DEPARTMENT/SCHOOL/COLLEGE**

#### **DEPARTMENT/SCHOOL/COLLEGE REVIEW COMMITTEE**

1. For consideration, the applicant must submit the following to his/her department chair. In schools without departments, the submission should be to the dean of the school.
  - Two-page description of the research necessary to address the agency reviewers' concerns and strengthen the planned resubmission
  - One-page budget with justification
  - Original external application and agency reviews
2. The official to whom the application was submitted (department chair or dean) appoints a committee to perform an in-depth review of the submitted material. This committee should consist of at least two members of the applicant's school, department, or division and one member from outside the applicant's school, department, or division. The committee may suggest alternative or additional approaches.
3. If the review committee positively indicates that the work to be performed will lead to a successful proposal within 12 months and the budget is appropriate, the application should be

submitted to OVPR (see Phase 2 instructions). Proposals reviewed negatively should not be submitted to OVPR.

4. OVPR will make the final funding decision based on its review.
5. If funded by OVPR, the committee must commit to a thorough review of the proposal before resubmission to the same or another external agency.
6. The department chair or dean may also ask the committee to mentor and advise the PI to ensure that progress is being made toward resubmission.
7. At the time of external resubmission a copy of the resubmitted proposal must be sent to [frsp@indiana.edu](mailto:frsp@indiana.edu).

## PHASE 2 - SUBMISSION TO OVPR

### ONLINE APPLICATION

<http://ovpr.indiana.edu/funding/internal-funding-programs/faculty-research-support-program/>

### APPLICATION REQUIREMENTS

Applicants must complete an electronic application, upload a completed budget worksheet, and attach other required materials to the electronic application. Please note page limitations. **Notify Fraya Fox of your submission by email at [frsp@indiana.edu](mailto:frsp@indiana.edu).**

### APPLICATION COMPONENTS (PDFs are preferred.)

Details on the components of the electronic application are provided below.

The electronic internal application must include:

1. **Proposal Cover Sheet:** *Proxies cannot be assigned.* A cover sheet will be generated from the information provided in the electronic application.
2. **Personnel (1 page limit):** Provide a list of **all** personnel (even if individuals have not been determined) that provides:
  - Name or TBD
  - School, department, center where applicable
  - Roles of each (PI, Co-PI, collaborator, consultant, mentor, grad student, post doc, staff, etc.)
  - Percent effort to be contributed to the project **even** if not receiving funding directly
  - Name of primary mentor for undergraduate and graduate students

**Note: On the e-application, do not include the name of the PI completing the application in the section listing Co-PIs and collaborators.**

3. **Project Description** (2 page maximum - references are not included in 2 page limit but are limited to only 1 additional page)

Must include the PI's response to the external agency review and the additional work to be undertaken to make the application competitive within one year. A specific funding opportunity (RFA) and agency should be identified, and a timetable for submission included.

4. **Budget Justification** The justification (1-page limit) must provide rationale for requested funds for **all personnel, course release, materials, equipment, and travel**, as well as any item whose purpose or cost computation is not self-evident.
5. **Biosketch (NIH format)**: <http://grants.nih.gov/grants/funding/2590/biosketchsample.pdf>
6. **Letter of support from the department chair or dean that includes a**
  - a. list of the review committee members along with their discipline.
  - b. commitment to support a resubmission within 12 months.
  - c. financial commitment consisting of 10% of the submitted budget.
7. **Written review from the committee indicating that**
  - a. the work to be performed will lead to a successful proposal within 12 months. Suggested alternative or additional approaches may be included.
  - b. the committee will commit to a thorough review of the revised proposal before resubmission.
  - c. the committee has agreed to mentor and/or advise the PI while supported by the FRSP - External Resubmission, if applicable.
  - d. the proposed budget is appropriate.

*Letters and reviews can be added to the application form and uploaded by the applicant or emailed to [frsp@indiana.edu](mailto:frsp@indiana.edu).*

8. **Upload Original external application and agency reviews**
9. **Upload Budget**: The budget is to be completed using the budget worksheet found on the application form. The budget should include all projected expenditures, and should support and be consistent with the proposal.

#### **BUDGET INFORMATION - FRSP - External Resubmission funds must be expended at IU Bloomington.**

Budget Guidelines: <http://ovpr.indiana.edu/funding/internal-funding-programs/faculty-research-support-program/>

#### **Allowed**

- Non-IUB facilities may be utilized **only** if no comparable facilities exist at IU Bloomington.
- Compensation for consultants that are a valid part of the project costs. Justification for hourly rates must be provided. (Consultants cannot be IU faculty or staff.)
- Funding for postdocs if requested as part of the FRSP - External Resubmission proposal
- Travel for fieldwork unique to the proposal
- Course release funds up to \$13,000 per investigator
- Equipment purchased as part of the FRSP project. A service contract for one year is allowed.

## Not allowed

- Academic salaries (including sabbatical leave)
- Summer salary
- IU faculty or staff as paid consultants.
- Service contracts for existing equipment
- Travel to conferences
- Course release funds greater than \$13,000
- Requests for base funding
- Collaborators from other IU campuses or institutions **may not** receive any compensation
- Subcontracts

*If a positive funding decision on the originally submitted external proposal is made during the FRSP award period, the FRSP award must be returned when external funding commences.*

**HUMAN SUBJECTS/ANIMALS:** Funds will not be released until appropriate compliance approvals such as IRB/IACUC/IBC have been received (if applicable).

## NO-COST EXTENSION

A “no-cost extension” allowing funds to be carried over beyond 12 months *will be very rare* and will require a request with justification from the PI and the review/mentoring committee. Extensions are limited to an additional 6 months; beyond 18 months the unexpended funds will be recalled.

## POST AWARD REQUIREMENTS

1. Each successful applicant is required to notify OVPR upon submission and/or funding of external proposals based on the project funding received through the FRSP - External Resubmission program. The applicant should provide the IU proposal number, title of the proposal, PI and co-PIs, agency, start date, duration, requested amount and funded amount.  
Please use the *external submission notification* form found on this page:  
<http://ovpr.indiana.edu/funding/internal-funding-programs/faculty-research-support-program/> .
2. Each successful applicant is required to submit a full progress report no later than three months after the end of the award. Requested data include:
  - Summary of results during the course of the project and how they addressed the FRSP goals.
  - Budget report outlining utilization of funds and details of any expenditure(s) outside of the approved budget.
  - Resulting publications, performances, conferences, exhibits, including titles, dates, venues, bibliographic information
  - Progress in applying for external funding, including titles, agencies, date submitted (past and future), amounts requested, status, awarded amount
  - Recognition: awards, honors, prizes, reviews
  - Additional follow-up information may be requested outside of the progress report to determine the ongoing efficacy of the FRSP - External Resubmission.

3. At the end of the funding period, the departmental chair or school dean will submit a report on the success of the program. This report is in addition to the PI's final report.

**IP POLICY:**

All awards are subject to Indiana University's intellectual property guidelines.  
For policy details, see: <http://iurtc.iu.edu/policies/intellectual-property.shtml>

**ACKNOWLEDGEMENT:**

FRSP - External Resubmission awards must be acknowledged in any resulting publications, including press releases, using the following suggested language:

“This project was funded (or partially funded) by IU’s Office of the Vice Provost for Research through the Faculty Research Support Program.”

Links to the OVPR Web sites would also be appreciated.

OVPR: <http://research.iub.edu/> <http://ovpr.indiana.edu/>

An electronic copy should be submitted to [frsp@indiana.edu](mailto:frsp@indiana.edu).

**DOCUMENT SOURCE:**

This document, the electronic application and the associated documents are available on OVPR’s Website:

<http://ovpr.indiana.edu/funding/internal-funding-programs/faculty-research-support-program/>

**FUTURE FUNDING**

Future funding of applications will be based on the success of proposal resubmissions (awards) supported by the FRSP - External Resubmission program from each department, school, or college.